



**Information Technology Services
Security Control Standards**

NIST Control Family – Security Assessment and Authorization

| CONTROL NUMBER | CONTROL NAME | PRIORITY | REVISION DATE | NEXT SCHEDULED REVIEW DATE |
|----------------|---|----------|---------------|----------------------------|
| CA-1 | Security Assessment and Authorization Policy and Procedures | P1 | 4/15/2020 | 4/15/2021 |

I. Overview

The Tarleton State University Information Security Controls Catalog establishes the minimum standards and controls for University information security in accordance with the state's Information Security Standards for Institutions of Higher Education found in Title 1, Chapter 202, Texas Administrative Code (TAC 202).

II. Purpose

This document establishes information security assessment and authorization regulations and procedures. The purpose of these regulations and procedures are to manage risks that may impact Tarleton State University from inadequate security assessment, authorization, and continuous monitoring of university information assets through the establishment of an effective security planning program.

III. Scope

The scope of these regulations and procedures are applicable to all information resources owned or operated by Tarleton State University. All users are responsible for adhering to this policy. If needed or appropriate, information regarding roles, responsibilities, management commitment, and coordination among organizational entities are embedded within these procedures.

IV. Risk Statement

Management does not set a clear policy direction in line with business objectives and demonstrate a commitment to information security.



V. Regulations and Procedures

The State of Texas Department of Information Resources (DIR) has chosen to adopt a select number of security assessment and authorization controls as established within the NIST SP 800-53 control family guidelines identified by the DIR Security Control Standards Catalog. Tarleton shall develop, adopt or adhere to a formal, documented security assessment and authorization procedure.