

Rule 15.05.04.T1 High Risk Global Engagements and High Risk International Collaborations



Approved: February 10, 2023
Next Scheduled Review: February 10, 2028

Rule Summary

This rule is required by and supplements The Texas A&M University System (system) Regulation 15.05.04, *High Risk Global Engagements and High Risk International Collaborations*, to establish guidelines and roles and responsibilities for High Risk Global Engagement and High Risk International Collaboration administration at Tarleton.

Definitions

Refer to [System Regulation 15.05.04 Definitions](#) for definition of terms.

Rule

1. PURPOSE AND BACKGROUND

Tarleton State University (Tarleton or university) encourages its employees to establish global engagements in the pursuit of mutually beneficial fundamental scientific inquiry, cultural, or educational opportunities. These activities strengthen the academic and research programs are fundamental to the university's educational system. The university is committed to protecting the integrity of university research and educational programs, including the interests of all involved in contractual relationships and international collaborations – *research sponsors, the university, university employees, and international collaborators*. Research relationships that promote equitable collaborations invigorate fundamental scientific inquiry, cultural, and educational opportunities and are fundamental to university processes.

2. ROLES AND RESPONSIBILITIES

2.1 The vice president of research, innovation and economic development and export control empowered official (VPRIED) is herein delegated responsibility for oversight and facilitating the review and approval process for High Risk Global Engagements and High Risk Collaborations at Tarleton.

- 2.2 The university's Executive Leadership Management Council, comprised of the VPRIED, executive vice president of academic affairs and provost (provost), and executive vice president for finance and administration/chief financial officer (CFO), is authorized to approve High Risk Global Engagements and High Risk Collaborations on behalf of Tarleton. The VPRIED is responsible for submission of university-approved High Risk Global Engagements and High Risk Collaborations to the System Research Security Office (RSO) for system review and final approval consideration in accordance with System Policy 15.05.04.
- 2.3 The university's Executive Leadership Management Council will review High Risk Global Engagements and High Risk Collaborations, recommend approval/disapproval, and assist the VPRIED in addressing any potential conflicts of commitment, conflicts of interest, export control concerns, or undue foreign influence concerns arising from relationships with High Risk Global Engagements or High Risk International Collaborations.
- 2.4 The VPRIED, as the university's designated sponsored research conflict of interest official (COI Official), per system regulation 15.01.03, *Financial Conflicts of Interest in Sponsored Research*, and Tarleton Rule 15.01.03.T1, *Financial Conflicts of Interest in Sponsored Research*, will review any COI disclosures of concern related to High Risk Global Engagements and High Risk International Collaborations with the Executive Leadership Management Council.
- 2.5 The provost, as delegated approval authority for faculty consulting and/or external professional employment, per System Regulation 31.05.01, *Faculty Consulting and/or External Professional Employment*, and Tarleton Rule 31.05.01.T1, *Faculty Consulting and/or External Professional Employment*, will review any faculty submissions of concern related to High Risk Global Engagements and High Risk International Collaborations with the Executive Leadership Management Council.
- 2.6 University Compliance (Compliance), as Tarleton's delegated office of authority for administration of the export control compliance program, per Tarleton Rule 15.02.99.T1, *Export Control Program Management*, will submit High Risk Global Engagements and High Risk International Collaborations identified through the export control compliance program to the VPRIED for review, approval, and final submission to the System RSO for final system review and approval pursuant to System Regulation 15.05.04.
- 2.7 University administrators, departments/units, and employees must submit all Global Engagements and International Collaborations to Compliance for export control compliance review. Compliance will monitor the System RSO's quarterly list of Countries of Concern and submit High Risk Global Engagements and High Risk International Collaborations identified through the export control compliance program to the VPRIED for Executive Leadership Management Council review and approval process.

- 2.8 All university employees must adhere to this rule and all other related state and federal laws, system policies and regulations, and university rules and procedures. Failure to comply may result in disciplinary action up to and including dismissal.
- 2.9 All university employees are required to complete export control training once every two years.
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Related Statutes, Policies, or Requirements

[Section 117 of the Higher Education Act of 1965, 20 U.S.C. 1011f](#)

[International Traffic in Arms Regulations \(ITAR\) 22 CFR 120-130](#)

[Export Administration Regulations \(EAR\) 15 CFR 730-774](#)

[System Regulation 15.01.03, *Financial Conflicts of Interest in Sponsored Research*](#)

[Tarleton Rule 15.01.03.T1, *Financial Conflicts of Interest in Sponsored Research*](#)

[System Policy 15.02, *Export Controls Program Management*](#)

[Tarleton Rule 15.02.99.T1, *Export Controls Program Management*](#)

[System Policy 15.05, *System Research Security Office*](#)

[System Regulation 15.05.04, *High Risk Global Engagements and High Risk International Collaborations*](#)

[System Policy 25.07, *Contract Administration*](#)

[Tarleton Rule 25.07.99.T1, *Contract Administration*](#)

[System Regulation 25.07.01, *Contract Administration, Delegations and Reporting*](#)

[System Regulation 31.05.01, *Faculty Consulting and/or External Professional Employment*](#)

[Tarleton Rule 31.05.01.T1, *Faculty Consulting and/or Outside Professional Employment*](#)

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